


AMENDMENT TRANSMITTAL LETTER (Small Entity)				Docket No. GENP:101_US_	
Applicant(s): Richard C. Payne					
Application No. 09/893,633	Filing Date 06/27/2001	Examiner Clement B. Graham	Customer No. 24041	Group Art Unit 3692	Confirmation No. 5630
Invention: COMPUTER BASED SYSTEM FOR VALUING AND HEDGING CUSTOMIZED INDEXED CALL OPTION					
 COMMISSIONER FOR PATENTS:					
Transmitted herewith is an amendment in the above-identified application.					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27					
The fee has been calculated and is transmitted as shown below.					
CLAIMS AS AMENDED					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	23 -	23 =	0	x \$25.00	\$0.00
INDEP. CLAIMS	10 -	11 =	0	x \$100.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0822 <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17. <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
/C. Paul Maliszewski/ <div style="text-align: center;"><i>Signature</i></div>			Dated: January 19, 2007		
C. Paul Maliszewski Registration No. 51,990 Simpson & Simpson, PLLC 5555 Main Street Williamsville, New York 14221 Phone: (716) 626-1564 Facsimile: (716) 626-0366			<div style="border: 1px solid black; padding: 5px;"> I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on January 19, 2007 <div style="text-align: center;">(Date)</div> </div>		
			/C. Paul Maliszewski/		
			Signature of Person Mailing Correspondence		
			C. Paul Maliszewski		
			Typed or Printed Name of Person Mailing Correspondence		
cc: CPM/alb					



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. Patent Application No.: 09/893,633

Confirmation No.: 5630

Applicant(s): PAYNE, Richard C.

Filed: 06/27/2001

For: **COMPUTER BASED SYSTEM FOR VALUING AND HEDGING
CUSTOMIZED INDEXED CALL OPTION**

TC/Art Unit: 3692

Examiner: Clement B. GRAHAM

Docket No.: GENP:101_US_

Customer No.: 24041

Certificate of Mailing by First Class Mail

I certify that this communication is being deposited on January 19, 2007 with the U.S. Postal Service as first class mail under 37 C.F.R. §1.8 and is addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

/C. Paul Maliszewski/

C. Paul Maliszewski
Registration No. 51,990

AMENDMENT AND REQUEST FOR RECONSIDERATION

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Honorable Sir:

This Amendment and Request for Reconsideration is in response to the Office Action dated October 19, 2007 regarding the above-identified patent application.

Amendments to the Claims begin on page 2 of this paper.

Remarks/Arguments begin on page 7 of this paper.